

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Tatsuo BABA

Ser. No.: 10/551,435

Art Unit: 1794

Filed: September 29, 2005

Examiner: Nicole T. Gugliotta

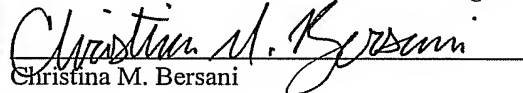
Confirmation No.: 4451

For: BASE FOR HONEYCOMB FILTER, METHOD FOR PRODUCING SAME AND
HONEYCOMB FILTER

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EFS CERTIFICATE OF TRANSMISSION

I hereby certify that this paper is being transmitted via EFS
to the Patent and Trademark Office on *August 13, 2008*.


Christina M. Bersani

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(c)

TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final action under § 1.113,
- (2) a notice of allowance under § 1.311 or
- (3) an Office Action that closes prosecution.

STATEMENT OR SUBMISSION FEE

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 C.F.R. 1.97(e).

OR

B. ☐ the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Fee due: \$00

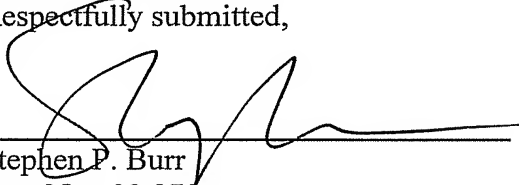
METHOD OF PAYMENT OF FEE

3. ☐ Attached is a check in the amount of \$.
☐ Charge Account No. 50-1446 in the amount of \$. A duplicate of this request is attached.
☐ The fees associated with this paper are being paid electronically with this submission.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,

August 13, 2008

Date



Stephen P. Burr
Reg. No. 32,970

SPB/cmb

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Practitioner's Docket No.: 791_333

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Tatsuo BABA

Serial No.: 10/551,435

Art Unit: 1794

Filed: September 29, 2005

Examiner: Nicole T. Gugliotta

Confirmation No.: 4451

For: BASE FOR HONEYCOMB FILTER, METHOD FOR PRODUCING SAME AND
HONEYCOMB FILTER

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

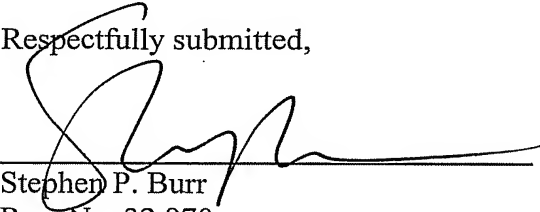
OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

August 13, 2008

Date


Stephen P. Burr
Reg. No. 32,970

SPB/cmb

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Practitioner's Docket No.: 791_333

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Tatsuo BABA

Ser. No.: 10/551,435

Art Unit: 1794

Filed: September 29, 2005

Examiner: Nicole T. Gugliotta

Confirmation No.: 4451

For: BASE FOR HONEYCOMB FILTER, METHOD FOR PRODUCING SAME AND
HONEYCOMB FILTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EFS CERTIFICATE OF TRANSMISSION

I hereby certify that this paper is being transmitted via EFS
to the Patent and Trademark Office on *August 13, 2008*.


Christina M. Bersani

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. A required copy of the reference listed on Form PTO-1449 is attached.

Also enclosed is a copy of an Office Action from a corresponding Japanese patent application bearing a mailing date of August 5, 2008, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein. Reference AE corresponds to reference AF.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

August 13, 2008

Date

SPB/cmb

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320